

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
7 June 2001 (07.06.2001)

PCT

(10) International Publication Number  
WO 01/40671 A1(51) International Patent Classification<sup>7</sup>: F16D 55/224,  
65/16, 65/54Plex Field Road, Bilton, Rugby, Warwickshire CV22 7EN  
(GB).

(21) International Application Number: PCT/GB00/04637

(74) Agent: ARCHER, Philip, B.; Urquhart-Dykes & Lord.  
New Priestgate House, 57 Priestgate, Peterborough, Cambs  
PE1 1JX (GB).(22) International Filing Date:  
30 November 2000 (30.11.2000)(81) Designated States (national): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,  
DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,  
HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,  
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,  
NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,  
TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(25) Filing Language: English

(84) Designated States (regional): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,  
CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(26) Publication Language: English

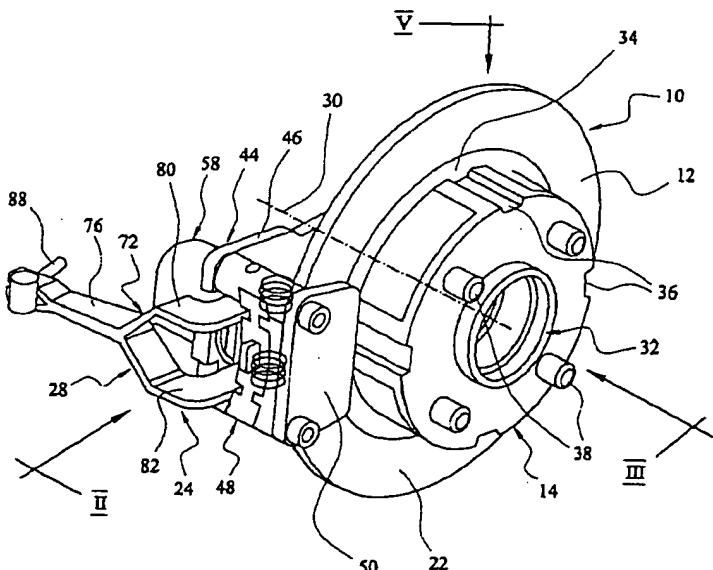
(70) Priority Data:  
9928162.8 30 November 1999 (30.11.1999) GB  
Published: — With international search report.(71) Applicant (for all designated States except US): FED-  
ERAL-MOGUL BRAKE SYSTEMS LIMITED  
[GB/GB]; Cawston House, Cawston, Rugby, Warwick-  
shire CV22 7SB (GB).

(72) Inventors; and

(75) Inventors/Applicants (for US only): BUNKER, Ken-  
neth, James [GB/GB]; 39A Mill Road, Rearsby, Leicester  
LE7 4YN (GB). ORTON, Stephen, Roy [GB/GB]; 10

[Continued on next page]

(54) Title: SPOT TYPE DISC BRAKE WITH PARKING BRAKE FUNCTION



WO 01/40671 A1

(57) Abstract: A spot-type automotive disc brake (10) provides parking brake and primary brake functions based on the same pair of friction elements (16, 18) and without resorting to supplemental drums or supplemental friction elements. The mechanism is simple and can be made at a size applicable to small mass produced vehicles. A sliding disc (12) has fixed (42) and actuated (40) friction elements at its opposite sides. A piston (64) and an external bifurcated lever mechanism (72) act completely independently yet symmetrically on the actuated friction element (16) without any common thrust-transmitting parts, whereby the asymmetry and complexity and consequential unreliability and high cost and duplication of mechanisms of prior proposals is avoided.



— *Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

## SPOT TYPE DISC BRAKE WITH PARKING BRAKE FUNCTION

This invention relates to automotive braking systems and in particular to spot-type automotive disc brakes incorporating a secondary or parking brake function.

The state of development of spot-type automotive disc brakes is such that it is indisputable that the absence of a simple and successful and cost-effective parking brake function comes as a surprise and is indicative of serious technical difficulties in providing this fundamental requirement for automotive vehicles, bearing in mind the considerable investment of the automotive industry in design and development of disc brake systems over four or five or more decades.

Automotive vehicles incorporating disc brake systems are of course provided with parking and secondary brake systems which are in use, and these will be reviewed below together with the most pertinent ones of the paper proposals which are also known to the applicants. So far as parking brake systems in actual use are concerned, the position is that (with one exception, see below) there is not in actual commercial use, to the best of the Applicants' knowledge, a spot type automotive disc brake in which a parking or secondary brake function is provided using the same friction elements as are actuated by the primary braking system. Usually of course the primary braking system is a hydraulic system. The nearest approach to such a dual purpose disc brake is provided on certain models of Jaguar cars and utilises an additional pair of friction elements and an associated additional mechanical actuating mechanism for these, so that the primary hydraulic disc brake system is provided with a secondary mechanical disc brake offering the parking brake function. Such an arrangement is an undesirable response to the need

-2-

for a simple and economical disc brake system which would be applicable to small inexpensive vehicles as well as to larger and more costly ones.

5 All the other parking brake systems currently used in relation to automotive vehicles having disc brakes involve some use of drum brakes, such as the provision of rear drums (in substitution for the rear disc), or the provision of rear drums as a supplement to the rear disc brakes, or the provision of a drum-type transmission brake. None of 10 these proposals, likewise, meets the requirement for a simple and cost-effective and compact disc brake system providing both primary and secondary/parking brake functions.

15 So far as paper proposals are concerned, the applicants are aware of:

US 4,499,977 (Wang)

US 3,941,221 (Pringle)

US 3,724,605 (Naismith)

and these prior proposals will be reviewed.

20 The Wang specification discloses an integral park brake mechanism for a disc brake of the fixed disc and moving caliper kind in which the usual hydraulic piston and cylinder assembly 1, 15 in the caliper on one side of the disc acts through a rod 2 so as to actuate the friction 25 element at that side of the disc (and through the moving caliper the other friction element is also actuated). The parking brake function is integrated with the hydraulic actuating function by means of an apertured locking collar 3 through which the rod 2 extends and which can be tilted 30 to grip the rod and to apply to the rod an actuating thrust from a parking brake lever 4.

This arrangement is stated to meet the requirement for locking during actuation and self-releasing after actuation. However, the Applicants know that such an

arrangement is technically undesirable for actual commercial use due to the obvious fact that a simple locking mechanism involving a rod and a locking collar, with the rod sliding in a bore formed in the hydraulic piston, is completely undesirable in terms of mechanical reliability for a mechanism located in the hostile environment of automotive disc brakes where temperature extremes and significant amounts of water and dust and other foreign matter are routinely applied to the mechanism during routine daily use. As a result, the mechanism is obviously unreliable and the statements in the description at column 4 line 25 onwards that the operation of the park brake mechanism is quite independent of the operation of the hydraulic brake, and the reference to the failure of one of the brakes not affecting the function of the other, is clearly technically wrong. Obviously a locking mechanism of the kind disclosed is liable to stay locked after use in the conditions described. Likewise the free sliding of the rod 2 within the bore in the hydraulic piston is likely to become restricted and finally prevented so that the hydraulic and parking brake functions are locked together and rendered unreliable if not inoperative. Hence the reasons why this mechanism has never been introduced in actual commercial use.

The Pringle brake takes a different approach to the construction of the parking brake mechanism by adopting a mechanical actuator located within the piston and cylinder assembly of the primary brake actuator system. This is also the "one exception" mentioned earlier and a fixed disc disc brake having such a parking brake (but otherwise not constructed as disclosed in Pringle) is in commercial use. Such an arrangement is mechanically difficult and costly to construct. This is partly because the mechanism has to be constructed relatively so small due to the space

constraints imposed by the correspondingly small piston and cylinder assemblies utilised in disc brakes for the rear wheels of small vehicles, taking account of the front/rear braking proportions which are conventionally utilised. As 5 a result, the mechanical parking brake mechanism within the hydraulic cylinder is effectively a mechanism almost of watch-like construction complexity and proportions and which is required to operate in the hostile environment of a braking system in terms of the temperatures and hydraulic 10 fluid. In short, such an arrangement is technically and commercially undesirable as a solution to the requirement for a simple and cost effective mechanism. These same comments apply equally to the Naismith disclosure which shows a similar mechanism.

15

Two additional prior art references which have come to the attention of the applicants are:

GB2177171A (Kelsey Hayes)

WO98/05879 (Brake Technologies Pty)

20 The Kelsey-Hayes specification discloses improvements in self-adjusting parking brakes, particularly for use on rear-axle disc brake assemblies. The parking brake actuator is preferably a "bolt-on" type assembly intended for external mounting to a disc brake caliper. Use of a "bolt-on" parking-brake actuating assembly eliminates the necessity of having front and rear axle disc brake assemblies of different design since the parking brake mechanism is not an integral part of the disc brake assembly (page 1, column 1, at lines 35 to 40). Therefore, 25 as shown in figure 3, the parking brake arrangement is asymmetrically arranged with respect to the main structure of the brake, and such is unacceptable in terms of its effect on the operation of the primary braking mechanism 30 due to the need for balanced and uniform operating and wear

-5-

characteristics, as far as possible. The deliberate adoption of an asymmetric configuration causing corresponding non-uniformity of operating and wear characteristics is generally unacceptable.

5 In the Brake Technologies Pty specification, there is disclosed a disc brake assembly of the kind in which the brake disc 23 is oil-immersed, and provided with full-annulus (or substantially full-annulus) friction element engagement facilities. Brakes of this kind are  
10 conventionally used as transmission brakes in heavy duty vehicular applications, including tractor brakes, for example. A primary actuating mechanism of the hydraulic kind is provided, together with a fail-safe emergency/parking type braking mechanism based upon spring  
15 elements 62,63 to cause application of the emergency-parking brake in the event of failure of the hydraulic fluid system. The general arrangement of the brake can be seen in figs. 1 and 2, and it is evident that such a brake is not of the spot-type automotive disc brake kind  
20 employing a lever-operated parking brake mechanism. Therefore, taking account both of disc brake systems in use and prior proposals, there remains a substantial requirement for a lever-operated parking and/or secondary  
25 brake system for use in spot-type automotive disc brakes and meeting one or more of the requirements outlined above, or at least providing a better compromise between the various conflicting factors than the disclosures in the prior proposals discussed above.

Thus, we have identified a need for the provision of a  
30 parking brake assembly in which the complexity and cost and unreliability of the Naismith and Wang disclosures is mitigated or overcome, while preserving the lack of asymmetry of the actuating arrangements therein. Likewise, we have identified the need for eliminating the asymmetry

which is fundamental to the approach in the Kelsey-Hayes disclosure and for eliminating the duplication of hardware likewise inherent in the Jaguar construction. Thus the embodiments of the present invention seek to provide,  
5 particularly for the cost-conscious spot-type automotive disc brake market, a parking or secondary brake assembly which is able to use the simple thrust-generating capabilities of a lever mechanism while avoiding duplication of actuation systems and friction pads, and  
10 avoiding likewise the actuation asymmetry which has represented the Achilles heel of the other proposals discussed above.

In the described embodiments of the invention, there is provided a parking brake arrangement in which, by adopting  
15 a lever mechanism which is constructed and adapted in accordance with the format of the primary brake operating mechanism (primarily by symmetrically accommodating either a single central cylinder or two spaced actuating cylinders), the combination of the convenient and effective  
20 generation of actuation thrust by the lever mechanism, and a simple symmetrical thrust- application system in which the friction elements have a reasonable chance to wear uniformly.

By adopting a lever construction which can straddle a  
25 single actuating cylinder, or the equivalent arrangement in which twin actuating cylinders straddle a single lever assembly, the problem which led the prior art to adopt mechanisms of watch-like complexity and/or an out-of-balance asymmetry, is solved.

30 Therefore, taking account both of disc brake systems in use and prior paper proposals, there remains a substantial requirement for a parking and/or secondary brake system for use in automotive disc brakes and meeting one or more of the requirements outlined above, or at least

providing a better compromise between the various conflicting factors than do the prior proposals discussed above.

According to the present invention there is provided  
5 a disc brake as defined in the accompanying claims.

In an embodiment of the invention described below the primary and secondary actuating mechanisms of the brake are constructed so as to be completely independent with respect to each other. As a result, the thrust applied by each  
10 actuating mechanism to the same one of the friction elements (on that side of the disc) reaches that friction element by a path which is independent and separate from that of the other mechanism. As a result, there is no common thrust transmission component in the primary and  
15 secondary actuating mechanism (as is the case in the Wang and Naismith references). Each of these mechanisms acts on the same friction element, but in fact applies its thrust to that friction element through an end thrust delivering surface which is spaced from the corresponding surface of  
20 the other actuating mechanism. In other words, the two mechanisms act on the friction element at laterally spaced-apart locations. In one embodiment, the piston of the primary hydraulic actuating mechanism acts on the friction element through a part-circular or cylindrical projecting  
25 structure integral with the piston, and the thrust delivering end of the parking brake mechanism is received (with clearance) in a slot formed therein and engages the friction element generally on the axis of and thus symmetrically with respect to the piston and through the  
30 curved surface of a profiled thrust-applying member.

In an alternative arrangement in which the primary actuating mechanism has spaced apart twin cylinders, the secondary or parking brake mechanism is disposed symmetrically between these.

Other aspects of the independent relationship of the primary and secondary actuating mechanisms include the following. Firstly, a malfunction of one mechanism has no effect on the other mechanism whereas, for example, in the 5 Wang mechanism the adoption of thrust paths for the two mechanisms which coincide at the rod 2 of Wang means that a failure of one mechanism is likely to seriously affect the other mechanism. Likewise, the complete independence of the primary and secondary actuating mechanisms in the 10 embodiments of the present invention also means that the parking brake mechanism imposes no constraints on the retraction of the primary or hydraulic system after use, such as would occur in Wang when the rod 2 no longer slides freely within the bore of the piston 1. Moreover, in 15 specific contradistinction to Wang, the piston of the hydraulic mechanism in the embodiments of the present invention provides no mounting whatever for the parking brake mechanism. The piston of the embodiments serves only to generate brake-actuating thrust. According to another 20 important feature of the embodiments of the present invention, the rotatable disc is mounted so as to be capable of sliding movement axially thereof and said friction elements being mountable on a fixed caliper or bridge structure straddling the disc, whereby the brake- 25 applying thrust applied by the secondary actuating mechanism to provide a secondary or parking brake function causes frictional engagement of the disc with the other one of the pair of friction elements without the need to transmit a thrust to that friction element through a moving 30 caliper or bridge structure, and the reaction thrust generated by both said primary and secondary actuating mechanisms is resisted by said fixed caliper or bridge structure. In other words, by virtue of adopting a sliding disc configuration for the disc brake, the parking brake

function can likewise adopt a simple one-sided configuration without the need (such as arises in a fixed disc brake assembly) to provide a corresponding oppositely-directed thrust to the friction element on the other side 5 of the disc. This latter friction element is simply squeezed against a fixed stop (provided by the fixed caliper or bridge) by the axial movement of the disc under the action of the parking brake lever mechanism, and the reaction thrusts are all resisted well.

10 To put it another way, the general configuration of the disc brake utilising a sliding disc and a fixed caliper in the embodiments means not only that the one-sided lever action is sufficient to apply both friction elements to the disc, but also the general structure of the brake is such 15 that the reaction forces generated by the lever mechanism can be conveniently applied to the robust and fixed and stable structure which supports the fixed caliper or bridge of the brake and in no way compromises the effective operation of the primary braking system.

20 In order to create a well-balanced actuation arrangement for the brake, the embodiments provide the secondary brake actuating mechanism comprising a bifurcated lever member which straddles the piston and cylinder assembly of the primary actuating mechanism so as to be 25 able to apply a balanced and symmetrically distributed thrust therewith to the friction element generally on the axis of the piston. Where the brake comprises a pair (or more) of piston and cylinder assemblies, then the lever mechanism can be disposed symmetrically between these 30 without the need actually to invade the central space of the piston (by means of a slot etc therein) as described above.

To compensate for wear of the friction elements, the secondary brake actuating mechanism is provided with an

adjustment mechanism. In one embodiment, the adjustment mechanism is adapted to move the pivot of the lever mechanism towards the actuated friction element as wear of the friction element occurs, whereby the lever is 5 maintained in a constant actuating attitude relative to the friction element despite the wear of the latter.

In an alternative adjustment arrangement the lever of the lever mechanism is provided with an adjustment member which progressively moves as the friction element wears so 10 as to change the dimensions of the lever member accordingly. In one arrangement, this is achieved by a pivoted sub-lever mounted on the main lever and controlled by a simple ratchet mechanism.

Further embodiments of the invention provide two or 15 more slidable discs with interleaved axially movable friction elements between the discs. The brake may be provided as a front (or even intermediate) wheel of a vehicle as well as or alternatively to the use of the brake on a rear wheel of a vehicle.

20 Embodiments of the invention will now be described by way of example with reference to the accompanying drawings in which:

Fig 1 shows an isometric view of a disc brake assembly;

25 Fig 2 shows an end elevation view of the assembly of fig 1 as seen on arrow II;

Fig 3 shows a side elevation view of the assembly of fig 1, as seen in the direction indicated by arrow III in fig 1;

Fig 4 shows a section on the line IV-IV in fig 3;

30 Fig 5 shows a planned view, corresponding to the sectional view of fig 4, and as seen in the direction of arrow V in fig 1;

Figs 6 and 6A show perspective and side elevation views of a second embodiment of the invention comprising a disc brake assembly partially exploded disc brake assembly;

Fig 7 shows, diagrammatically, an indication of the structure of an adjusting arrangement for a brake actuating lever for use in the preceding embodiments;

As shown in the embodiment of Figs 1 to 5, a disc  
5 brake 10 comprises at least one rotatable brake disc 12 and an axially fixable mounting hub 14 therefor. A pair of friction elements 16, 18 are provided for frictional engagement with opposite sides 20, 22 of disc 12.

An actuating mechanism 24 is provided for the brake  
10 and is adapted to effect frictional engagement of the friction elements 16, 18 with the opposite sides, 20, 22 of disc 12.

Actuating mechanism 24 comprises primary 26 and secondary 28 (including parking) actuating mechanisms which  
15 are both adapted to engage the same one namely friction element 16, of the pair of friction elements 16, 18, to effect frictional engagement of friction element 16 with disc 12 as part of the process of engaging the brake. Friction element 16 is thus the actuated or active friction  
20 element whereas friction element 18 is the non-actuated or passive friction element as will be clear from the description which follows.

The primary and secondary or parking actuating mechanisms 26, 28 respectively are constructed so as to be  
25 completely independent with respect to each other so that the thrust applied by each mechanism to the active friction element 16 reaches friction element 16 by a path which is independent and separate from that of the other mechanism. This feature of the mechanism will be discussed in further  
30 detail below.

Rotatable disc 12 of brake 10 is mounted on rotatable mounting 14 therefor so as to be capable of sliding movement axially (see axis 30 in fig 1) thereof whereby the thrust applied by secondary actuating mechanism 28 to

active friction element 16 to produce a secondary or parking brake function causes frictional engagement of disc 12 with passive friction element 18, without the need to transmit thrust to passive friction element 18 through a moving caliper or bridge structure straddling the disc.

Having thus identified the principal structures and functions of brake 10, these will now be discussed in further detail below.

Disc 12 and its mounting 14 are constructed as a journalled assembly 32 to be mounted in relation to a tyre-carrying rear road wheel of an automotive vehicle accordingly. Fig 1 indicates the drive-dogs relationship between disc 12 and its mounting 14 whereby the disc is axially slideable by means of drive-dogs 34 and corresponding grooves 36. Mounting 14 carries wheel studs 38.

Non-rotatably mounted with respect to disc 12 and the mounting 14 for disc 12 is a fixed bridge assembly 44 comprising a mounting plate 46 at the inboard side of disc 12, a caliper 48 extending in the outboard direction from mounting plate 46 across the outer periphery of disc 12, and a stop plate 50 at the outboard side of disc 12. All this structure is fixed and non-rotatably mounted with respect to disc 12 by virtue of connecting structure (not shown) to the body of the automotive vehicle.

Friction elements 16 and 18 comprise pads 52, 54 of friction material. In the case of active friction element 16, its respective friction material pad 52 is bonded to a metal backing plate 56. In the case of friction element 18, its pad 54 is bonded to stop plate 50. Backing plate 56 is slidably mounted on caliper 48 for axial movement in a direction generally parallel to wheel axis 30.

Actuating mechanism 24 comprising primary actuating mechanism 26 and secondary actuating mechanism 28 will now

-13-

be described further. Primary actuating mechanism 26 comprises a hydraulic piston and cylinder assembly 58 mounted on mounting plate 46 for actuation movement of the piston lengthwise of actuation axis 60, whereby structure 5 62 (in the form of a flanged [see Fig 4] part-cylindrical thrust collar 62 which is slidably received in and forms an extension of piston 64 of cylinder 66, engages backing plate 56 of active friction element 16. The details of this arrangement will be described more fully in relation to fig 10 6 below.

The headside chamber 68 between piston 64 and cylinder 66 is connected through a port 70 to the hydraulic control system for the primary (foot-pedal-operated) braking system of the vehicle.

15 Secondary actuating mechanism 28 comprises a lever mechanism 72 mounted on the axially fixed structure provided by caliper 48 and mounting plate 46 for pivotal clockwise movement about a lever axis 74 from the position shown in full lines in Fig 5.

20 Lever mechanism 72 comprises a bifurcated lever member 76 straddling the piston and cylinder assembly 58 of the primary actuating mechanism 26.

As mentioned above the primary and secondary actuating mechanism 26 and 28 are constructed so as to be completely 25 independent with respect to each other, so that the thrust applied by each mechanism to the active friction element 16 reaches that friction element by a path which is independent and separate from thrust of the other mechanism. Thus, in the case of the primary hydraulic 30 actuating mechanism 24, comprising piston 64 and cylinder 66, the thrust is applied to active friction element 16 through thrust collar 62, direct from piston 64. A slot (not shown in fig 4 but illustrated by means of the slot 78 identified in fig 6) is formed in thrust collar 62 to allow

the two lever limbs 80, 82 which straddle piston and cylinder assembly 58 to be connected by an actuating bar 84 (Fig 6) which is received in slot 78 with clearance. Bar 84 is provided with a smoothly curved profiled central 5 actuating boss 86 to engage backing plate 56 of active friction element 16 generally centrally thereof and approximately on the actuation axis 60 of piston and cylinder assembly 58.

Thus, it can be seen that the two actuating mechanisms 10 26 and 28 are indeed completely independent with respect to each other in terms of their mode of actuating the active friction element 16. This is because each can apply thrust to that friction element quite independently by a thrust path which shares no component with that of the other 15 actuating mechanism and indeed applies that thrust to the friction element backing plate at a location which is laterally spaced from (yet generally symmetrically disposed with respect to) the location at which the other actuating mechanism applies its thrust. Thus, lever mechanism 72 20 applies its thrust generally on the actuation axis 60 and the primary actuating mechanism 28 applies its thrust around the part-cylindrical profile of thrust collar 62. Moreover, the depth of slot 78 is such that thrust collar 25 cannot apply thrust to backing plate 56 through actuating bar 84 and actuating boss 86 because the thrust collar engages the backing plate leaving sufficient clearance in slot 78 for actuating boss 86 not to then engaging the backing plate.

The mode of operation of brake 10 will now, it is 30 believed, be generally self-evident. Fluid pressure actuation of primary actuating mechanism 26 will result in advancement of piston 64 toward disc 12 causing active friction element 16 to engage the disc and to cause slight axial movement of same towards fixed friction element 18,

-15-

thereby resulting in frictional engagement of the pads 52, 54 with opposite sides of the disc and engagement of the brake.

Actuation of secondary actuating mechanism 28 by 5 application of tension in cable 88 connected to lever mechanism 72 causes angular movement of lever member 76 about lever axis 74 from the full line position in fig 5 towards the broken line position and causes similar 10 actuation of active friction element 16, frictional engagement of same with disc 12 and resulting engagement of passive friction element 18 with the opposite side of the disc.

Turning now to the adjustment mechanisms for compensating for wear of friction elements 16 and 18, fig 15 5 shows in full and broken lines the position of lever member 76 of lever mechanism 72 in its limit positions corresponding to worn and unworn conditions of the friction pads 52, 54.

To compensate for the wear of the friction pads 20 adjustment means 90 is provided in Figs 6 and 6A which is mounted on plate 46 and adapted to move a lever pivot 92 defining lever axis 74 of the lever mechanism 72 towards the active friction element 16 as the friction elements wear.

25 This embodiment of the adjustment mechanism is shown in figs 6 and 6A. Figs 6 and 6A are provided with reference numerals otherwise corresponding to those of the preceding embodiment, but these Figs show the general arrangement of the adjustment means for moving the pivot of 30 the lever mechanism as mentioned above. For this purpose lever pivot 92 is carried on a sector-shaped adjustment member 94 which is spring-biased by a tension spring 96 acting to pivot the adjustment member outwardly (towards disc 12) about an adjustment pivot 97 while lever pivot 92

is guided linearly in a slot 98 formed in a guide 100 in which is mounted a cylindrical stop 101 (see Fig 6A) located concentrically on a peg 102.

5 Lever member 76 is biassed to its released position as shown in fig 6 by a compression spring 104. As the friction pads 52, 54 wear, pivot 92 progressively moves outwards in slot 98, whereby the pad wear is compensated-for and the lever member 76 always returns to the same at rest position.

10 In the embodiment of fig 7 there is diagrammatically illustrated an adjustment means 106 wherein provision is made for the lever mechanism 108 comprising lever member 110 (which actuates active friction element 16) to progressively change in dimensions as the friction elements 15 wear. This progressive change in dimensions is provided by an adjustment member 112 mounted on lever member 110 for pivotal movement about an adjustment axis 114 progressively under the control of a ratchet mechanism indicated diagrammatically at 116, the ratchet mechanism being 20 responsive to friction pad wear.

## CLAIMS

1. A spot type automotive disc brake of the kind in which a rotatable disc and associated friction elements of the brake are mounted for frictional engagement, at any given time, in one sector only of the annular periphery of the rotatable disc while the remainder of said periphery of the disc at such given time is not subjected to such frictional engagement, and in which a lever-operated secondary braking mechanism is provided, comprising:

- 5 a) at least said one rotatable brake disc and an axially fixed rotatable mounting therefor;
- b) at least said one pair of friction elements for frictional engagement with opposite sides of said disc;
- 15 c) an actuating mechanism adapted to effect frictional engagement of said friction elements with said opposite sides of said brake disc;
- d) said actuating mechanism comprising primary and secondary actuating mechanisms both adapted to engage the same one of said pair of friction elements to effect frictional engagement thereof with said disc as part of the process of engaging said brake;

20 characterised by

- e) said primary and secondary actuating mechanisms being constructed for independent operation such that the brake-applying thrust applied by each mechanism to said same one of said pair of friction elements reaches same by a path without any common thrust-transmitting parts with that of the other mechanism; and said secondary actuating

25 mechanism comprising said lever mechanism adapted to apply an actuating force to said one of said friction elements at a location or locations generally symmetrically disposed with respect to the corresponding location or locations for said primary actuating mechanism: and

30

f) said rotatable disc being mounted on said rotatable mounting therefor so as to be capable of sliding movement axially thereof and said friction elements being mountable on a fixed caliper or bridge structure straddling 5 the disc, whereby said brake-applying thrust applied by said secondary actuating mechanism to said one friction element at one side of said disc to produce a secondary or parking brake function also causes frictional engagement of the opposite side of said disc with said other one of said 10 pair of friction elements and the reaction thrust generated by both said primary and secondary actuating mechanisms is resisted by said fixed caliper or bridge structure.

2. A spot-type disc brake comprising a rotatable disc, at least one pair of friction elements for frictional engagement with opposite sides of said disc, and a primary actuating mechanism and a lever-type secondary actuating mechanism adapted to actuate the same one of said pair of friction elements at generally symmetrically disposed 20 locations thereon, said primary and secondary actuating mechanisms being independent, without any common brake-applying thrust-transmitting parts, and said rotatable disc and a caliper or bridge structure straddling said disc and on which said friction elements are mountable, being 25 mounted so as to be capable of relative axial movement in use.

3. A disc brake according to claim 1 or claim 2 characterised by said lever mechanism of said secondary 30 actuating mechanism being mounted on said caliper or bridge structure.

4. A disc brake according to claim 3 characterised by said lever mechanism being adapted to apply said

- 19 -

actuating force to said one of said friction elements at said location or locations generally symmetrically disposed as aforesaid by comprising a bifurcated lever member straddling a piston and cylinder assembly of said primary 5 actuating mechanism.

5. A disc brake according to claim 3 characterised by said lever mechanism being adapted to apply said actuating force to said one of said friction elements at 10 said location or locations generally symmetrically disposed as aforesaid by comprising a lever member disposed to act between a pair of piston and cylinder assemblies of said primary actuating mechanism.

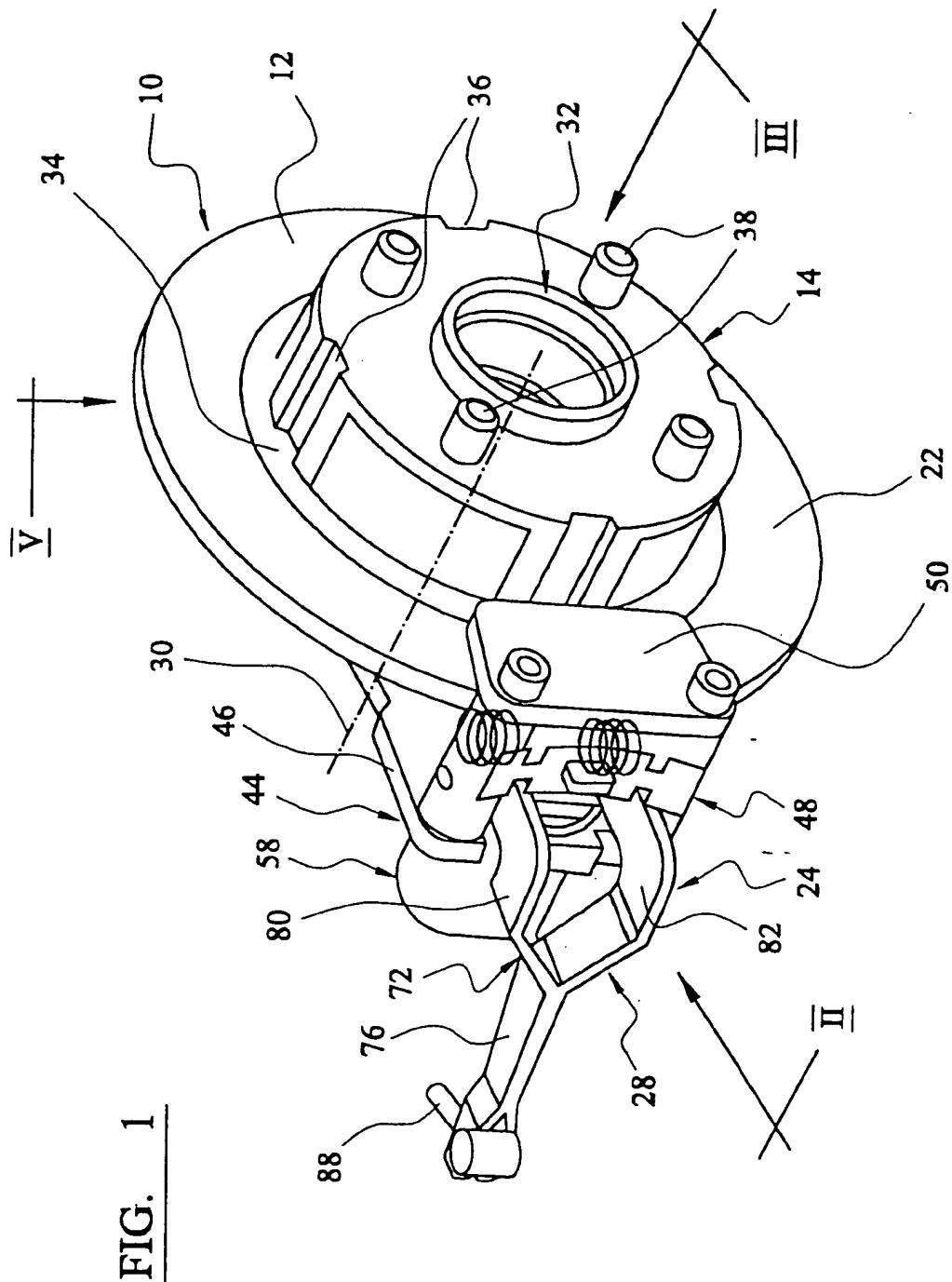
15 6. A disc brake according to any one of the preceding claims characterised by adjusting means adapted to compensate for wear of said friction elements which are actuated by said primary and secondary actuating mechanisms.

20

7. A disc brake according to claim 6 characterised by said secondary actuating mechanism comprising a lever mechanism and said adjusting means being adapted to move a pivot of said lever mechanism towards said one of said 25 friction elements as said friction element wears.

8. A disc brake according to claim 6 characterised by said secondary actuating mechanism comprising a lever mechanism and said adjustment means being adapted to cause 30 said lever mechanism to progressively change in dimensions as said friction element wears, said progressive change in dimensions being provided by an adjustment member mounted on said lever mechanism.

-1/8-



-2/8-

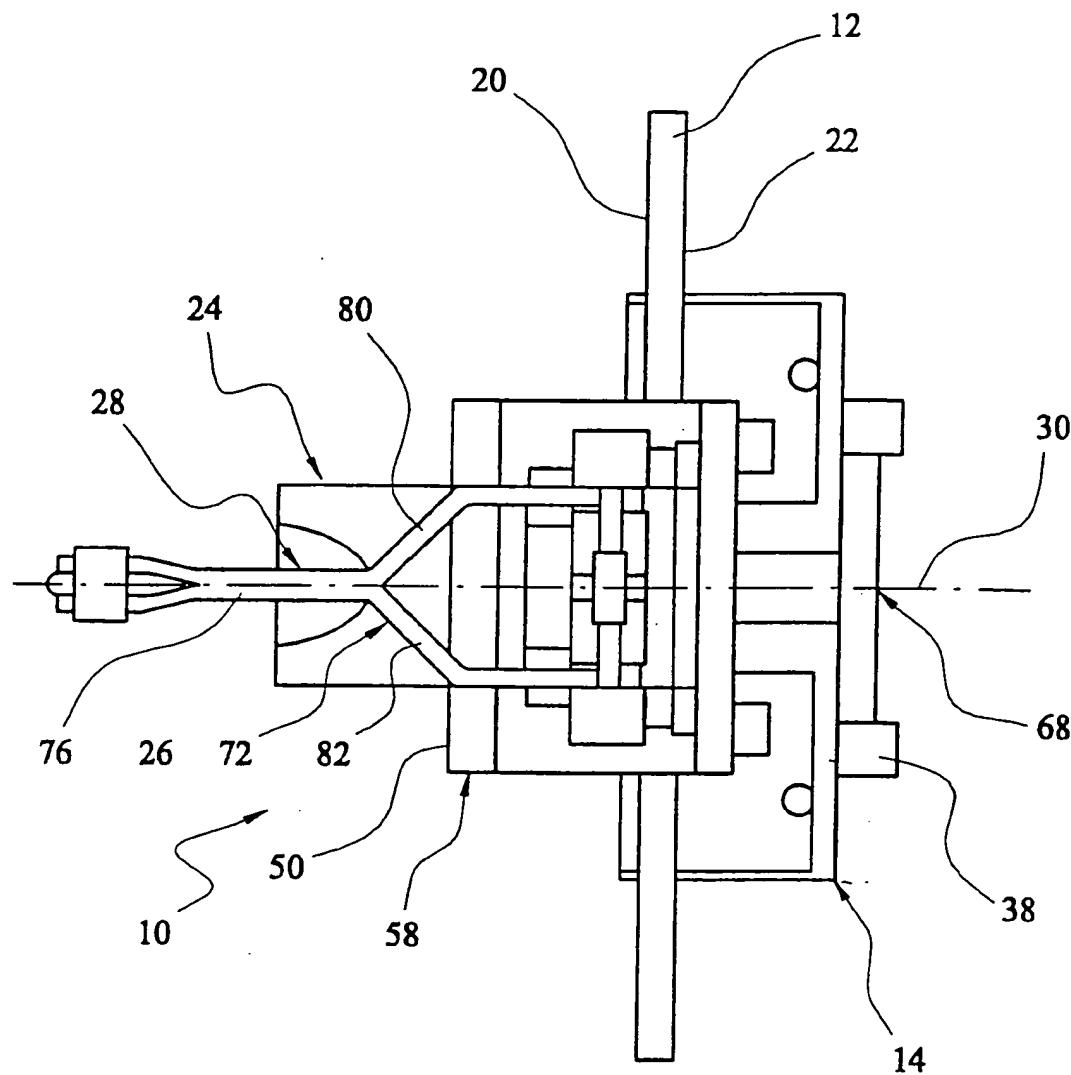


FIG. 2

-3/8-

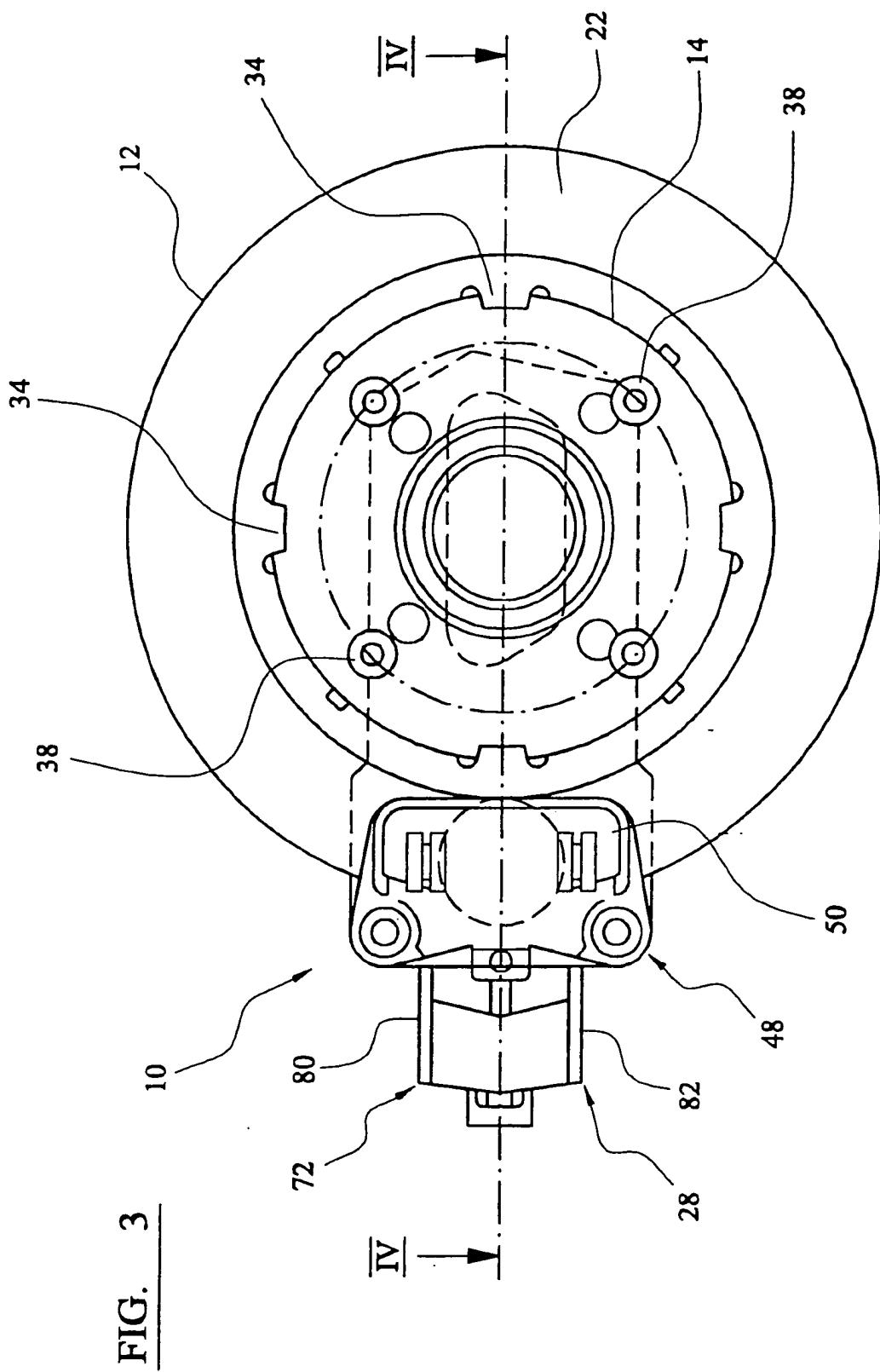
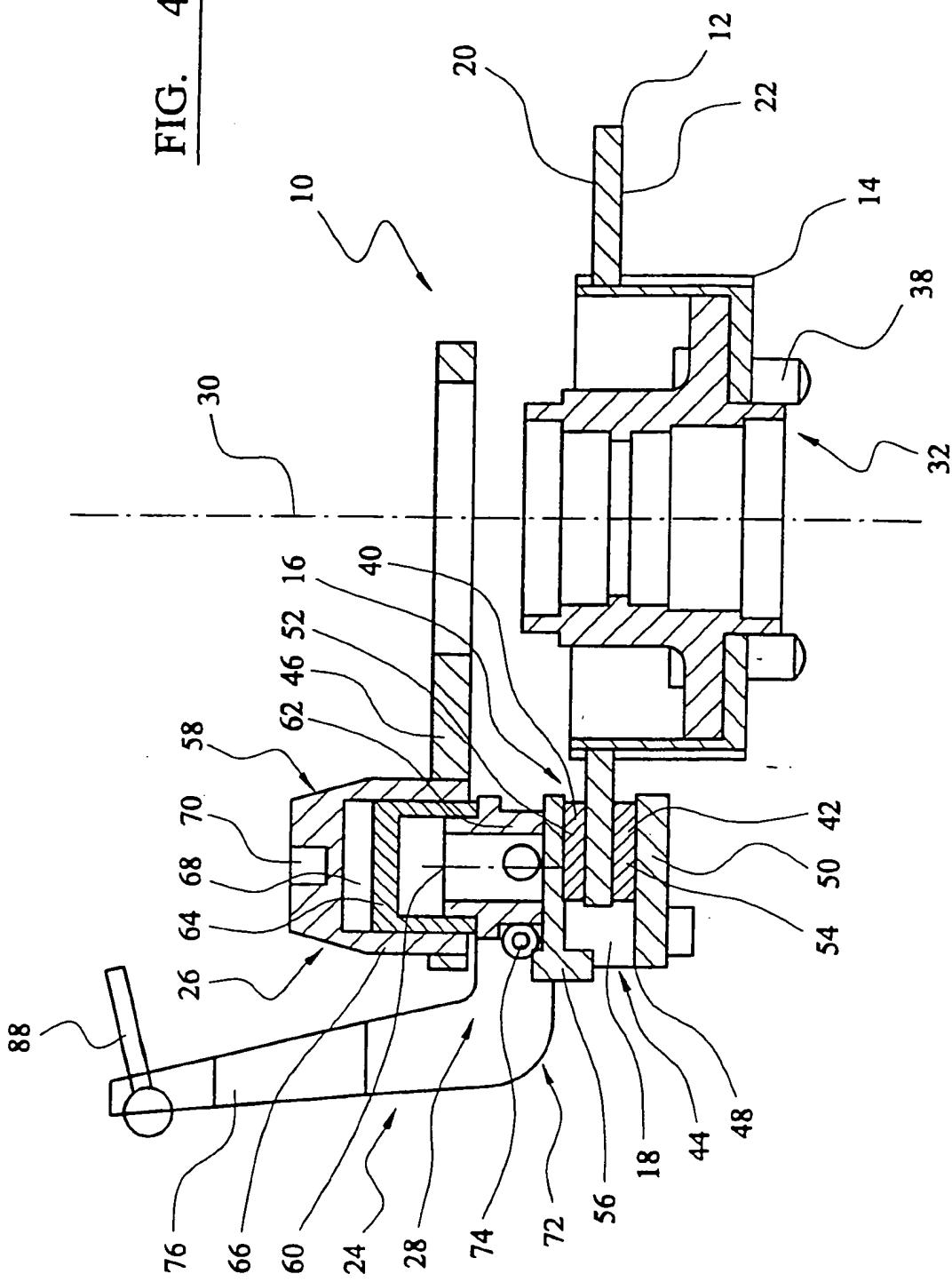


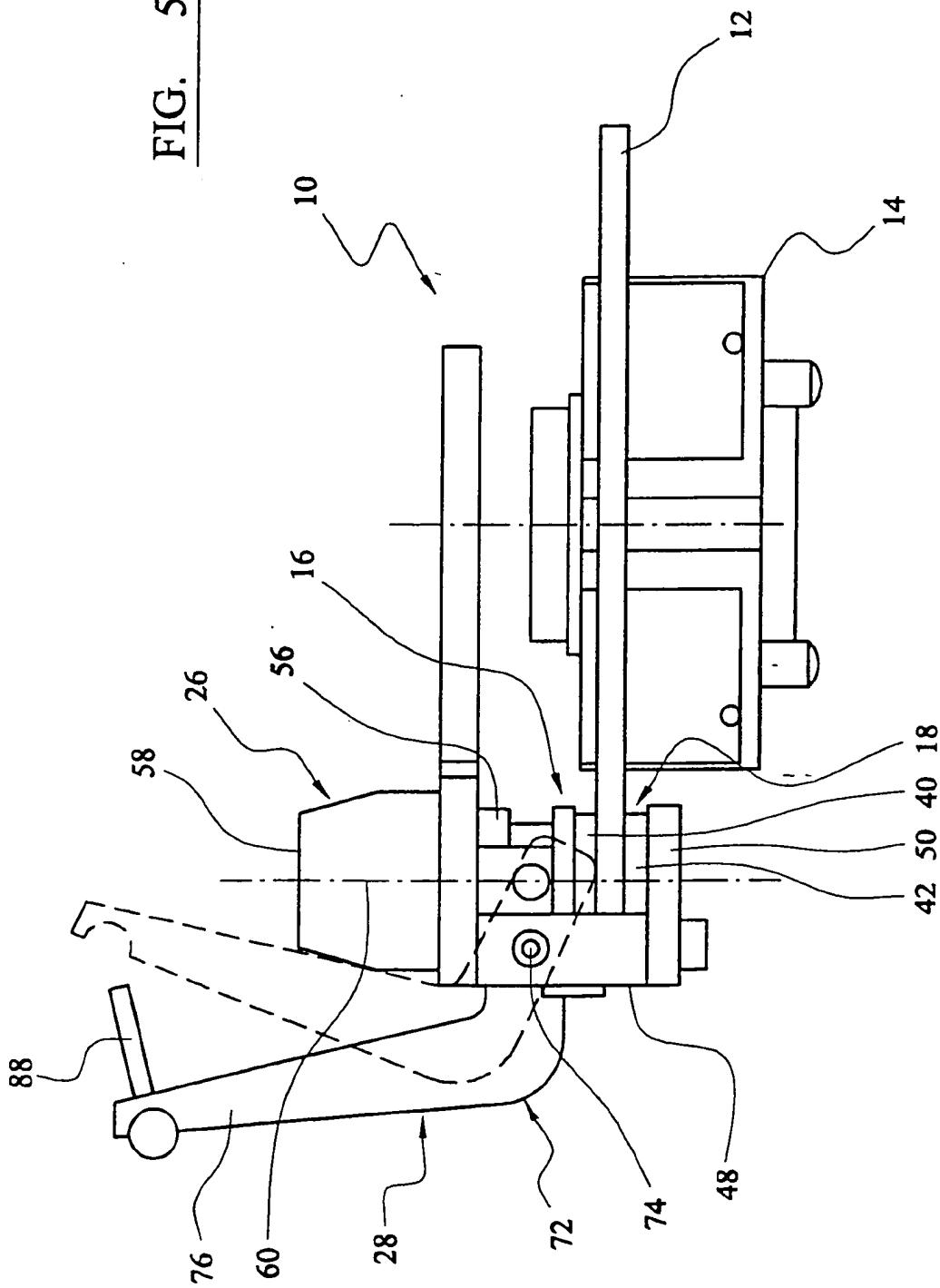
FIG. 3

-4/8-

FIG. 4

-5/8-

FIG. 5



-6/8-

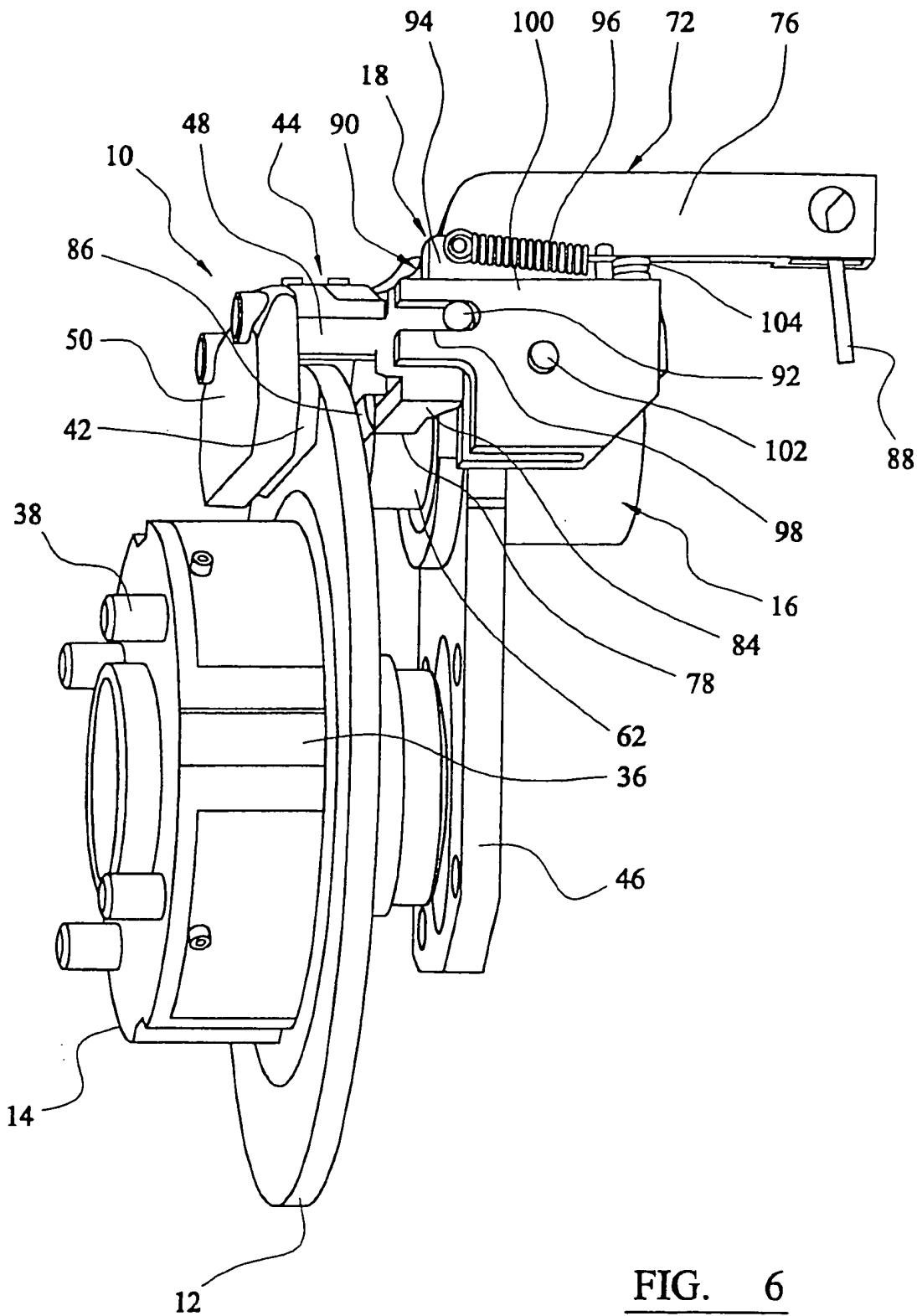


FIG. 6

-7/8-

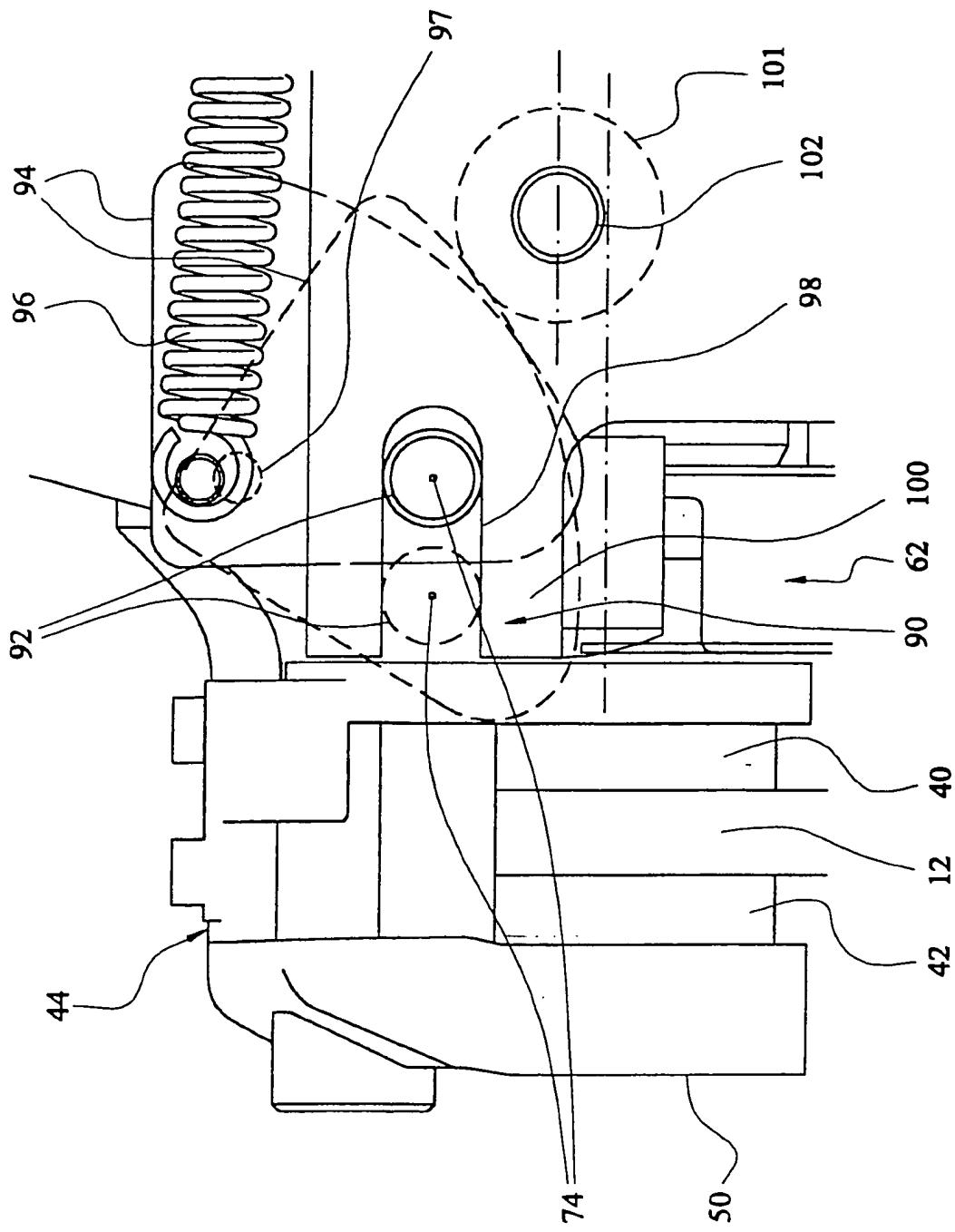


FIG. 6A

-8/8-

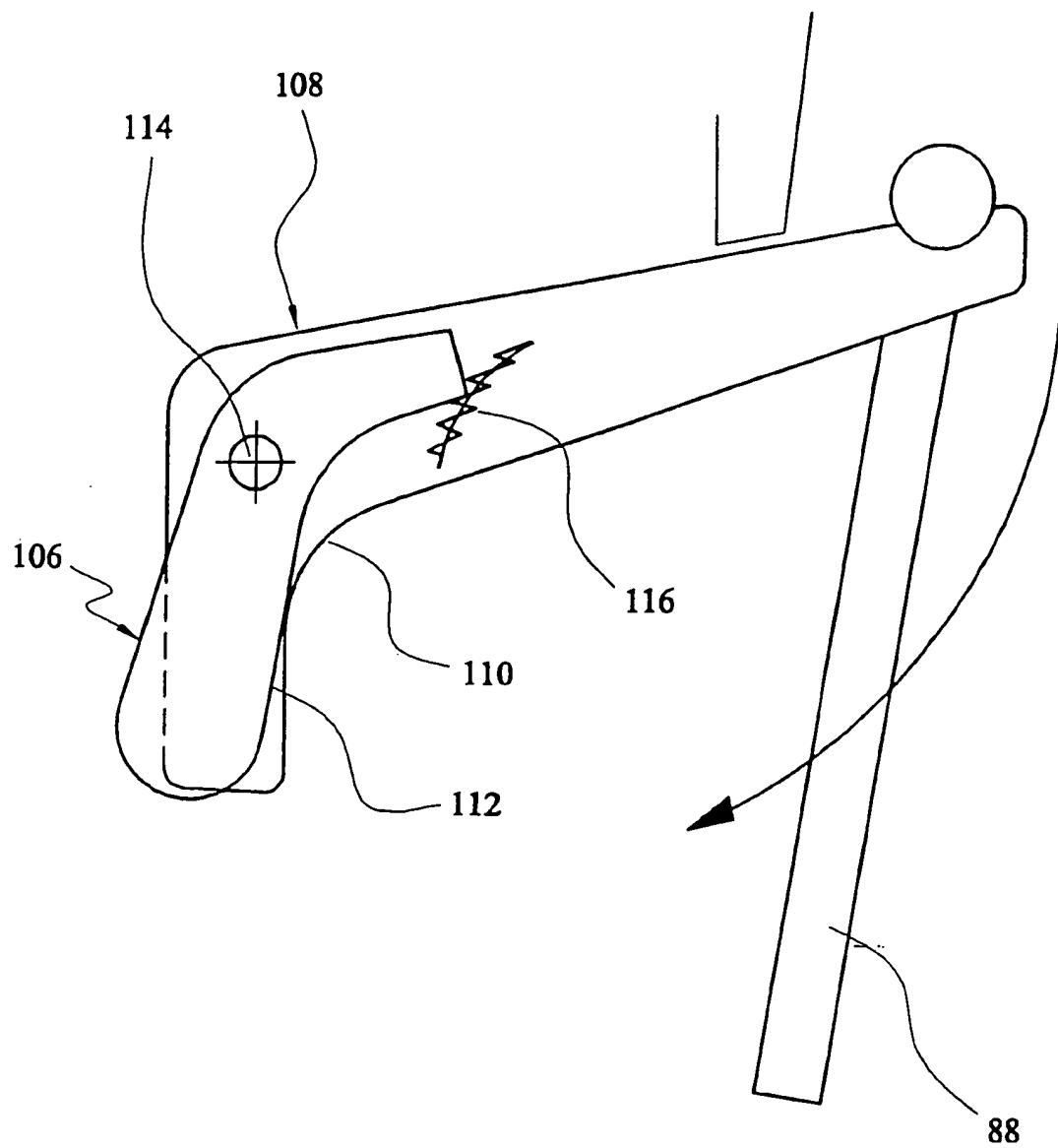


FIG. 7

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 F16D55/224 F16D65/16 F16D65/54

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 F16D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 635 761 A (BOLENBAUGH DANIEL L ET AL) 13 January 1987 (1987-01-13) column 2, line 19 -column 3, line 58; figures 1,2 ---	1,2,6
A	US 3 848 704 A (FALK E) 19 November 1974 (1974-11-19) column 2, line 38 -column 4, line 32; figure 1 ---	1,2,6
A	US 3 934 684 A (EVANS ANTHONY C) 27 January 1976 (1976-01-27) column 3, line 67 -column 6, line 9; figures 1-4 ---	1,2,6

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority, claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
24 April 2001	02/05/2001
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fax (+31-70) 340-3016	Authorized officer  Chlosta, P

## INTERNATIONAL SEARCH REPORT

## Information on patent family members

Intern. Application No

PCT/GB 00/04637

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US 4635761	A 13-01-1987	AU 586678	B	20-07-1989
		AU 5696386	A	24-03-1987
		BR 8606847	A	03-11-1987
		CA 1289485	A	24-09-1991
		CN 86103957	A, B	25-02-1987
		DE 3664363	D	17-08-1989
		EP 0233890	A	02-09-1987
		ES 2001911	A	01-07-1988
		JP 7099183	B	25-10-1995
		JP 63500734	T	17-03-1988
		KR 9302423	B	30-03-1993
		MX 160889	A	11-06-1990
		WO 8701421	A	12-03-1987
US 3848704	A 19-11-1974	CA 1001094	A	07-12-1976
US 3934684	A 27-01-1976	DE 2508577	A	04-09-1975
		DE 2559701	A	13-10-1977
		DE 2559702	A	15-09-1977
		FR 2262756	A	26-09-1975
		GB 1488611	A	12-10-1977
		GB 1488612	A	12-10-1977
		JP 50124066	A	29-09-1975
		US 4006802	A	08-02-1977